



**North Yorkshire  
County Council**

## **Agenda**

**Meeting: Audit Committee**

**Venue: Brierley Room, County Hall,  
Northallerton**

**Date: Thursday 7 September 2017 at 1.30pm**

**Note: Members are invited to attend a  
seminar concerning Cyber Security at  
1.00 pm in the Brierley Room.**

Recording is allowed at County Council, committee and sub-committee meetings which are open to the public. Please give due regard to the Council's protocol on audio/visual recording and photography at public meetings, a copy of which is available to download below. Anyone wishing to record is asked to contact, prior to the start of the meeting, the Officer whose details are at the foot of the first page of the Agenda. We ask that any recording is clearly visible to anyone at the meeting and that it is non-disruptive. <http://democracy.northyorks.gov.uk>

### **Business**

1. **Minutes of the meeting held on 22 June 2017**

**(Pages 5 to 15)**

2. **Any Declarations of Interest**

### 3. Public Questions or Statements

Members of the public may ask questions or make statements at this meeting if they have given notice (including the text of the question/statement) to Ruth Gladstone of Democratic Services (*contact details below*) by midday on Monday 4 September 2017 (*insert date*). Each speaker should limit themselves to 3 minutes on any item. Members of the public who have given notice will be invited to speak:-

- at this point in the meeting if their questions/statements relate to matters which are not otherwise on the Agenda (subject to an overall time limit of 30 minutes);
- when the relevant Agenda item is being considered if they wish to speak on a matter which is on the Agenda for this meeting.

If you are exercising your right to speak at this meeting, but do not wish to be recorded, please inform the Chairman who will instruct those taking a recording to cease while you speak.

4. **Internal Audit Report on Information Technology, Corporate Themes and Contracts**  
- Report of the Head of Internal Audit  
**(Pages 16 to 30)**
5. **Progress on Issues Raised by the Committee** – Joint report of the Corporate Director – Strategic Resources and the Assistant Chief Executive (Legal and Democratic Services)  
**(Pages 31 to 33)**
6. **External Audit Report 2016/17 on North Yorkshire County Council and North Yorkshire Pension Fund** – Report of KPMG  
**(Pages 34 to 62)**
7. **Report following the detailed review of the draft Statement of Final Accounts (incorporating Annual Governance Statement) for 2016/17** - Report of Mr David Portlock (External Member of the Audit Committee)  
**(Pages 63 to 64)**
8. **Statement of Final Accounts for 2016/17 including Letter of Representation** - Report of the Corporate Director – Strategic Resources  
**(Pages 65 to 80)**  
**(Statement of Final Accounts booklet collated separately)**
9. **Annual Report of the Audit Committee** - Report of the Chair of the Audit Committee  
**(Pages 81 to 89)**
10. **Children and Young People’s Services Directorate:-**
  - (a) **Internal Audit Work** - Report of the Head of Internal Audit  
**(Pages 90 to 99)**
  - (b) **Internal Control Matters** - Report of the Corporate Director – Children and Young People’s Services  
**(Pages 100 to 119)**

11. **Progress on 2017/18 Internal Audit Plan** - Report of the Head of Internal Audit  
(Pages 120 to 124)
12. **Programme of Work 2017/18** – Report of the Corporate Director – Strategic Resources  
(Page 125)
13. **Other business which the Chairman agrees should be considered as a matter of urgency because of special circumstances**

Barry Khan  
Assistant Chief Executive (Legal and Democratic Services)

County Hall  
Northallerton

**Notes:**

**Emergency Procedures for Meetings**

**Fire**

The fire evacuation alarm is a continuous Klaxon. On hearing this you should leave the building by the nearest safe fire exit. Once outside the building please proceed to the fire assembly point outside the main entrance.

Persons should not re-enter the building until authorised to do so by the Fire and Rescue Service or the Emergency Co-ordinator.

An intermittent alarm indicates an emergency in nearby building. It is not necessary to evacuate the building but you should be ready for instructions from the Fire Warden.

**Accident or Illness**

First Aid treatment can be obtained by telephoning Extension 7575.

# AUDIT COMMITTEE

## 1. Membership

<b>County Councillors (8)</b>					
	<i>Councillors Names</i>			<i>Political Group</i>	
1	ARTHUR, Karl			Conservative	
2	ATKINSON, Margaret	Vice-Chairman		Conservative	
3	BAKER, Robert			Conservative	
4	CLARK, Jim			Conservative	
5	HUGILL, David			Conservative	
6	LUNN, Cliff	Chairman		Conservative	
7	MACKAY, Don			NY Independents	
8	WEBBER, Geoff			Liberal Democrat	
<b>Members other than County Councillors (Non-voting) (3)</b>					
1	PORTLOCK, David				
2	MARSH, David				
3	Vacancy				
<b>Total Membership – (11)</b>				<b>Quorum – (3 ) County Councillors</b>	
Con	Lib Dem	NY Ind	Labour	Ind	Total
6	1	1	0	0	8

## 2. Substitute Members

<b>Conservative</b>		<b>Liberal Democrat</b>	
	<i>Councillors Names</i>		<i>Councillors Names</i>
1	BACKHOUSE, Andrew	1	
2	CLARK, Jim	2	
3		3	
4		4	
5		5	
<b>NY Independent</b>			
	<i>Councillors Names</i>		
1			
2			
3			
4			
5			